

6p/2631

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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C. Healion

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Applicant : John T. Holloway, et al.
Application No. : 09/826,239
Filed : April 4, 2001
Title : TRANSCIVER METHOD AND SIGNAL
THEREFOR EMBODIED IN A CARRIER WAVE
FOR A FRAME-BASED COMMUNICATIONS
NETWORK
Grp./Div. : 2631
Examiner : Not assigned
Docket No. : 42150/RJP/E264

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INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
December 3, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report for PCT/US01/10882. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the

Application No. 09/826,239

application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

A handwritten signature in dark ink, reading "Richard J. Paciulan", written over a horizontal line.

Richard J. Paciulan

Reg. No. 28,248

626/795-9900

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Enclosures: PTO-1449, w/references

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	42150/RJP/E264
	Application Number	09/826,239
	Filing Date	April 4, 2001
	Applicant(s)	John T. Holloway, et al.
	Group Art Unit	2631
	Examiner Name	Not assigned

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 938 207	08/1999	EPO	H04L	1/12		
	99/20016	04/1999	WIPO	H04L	1/12		

OTHER DOCUMENTS	
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	J. CARLSON, et al., "PPP over Simple Data Link (SDL) using SONET/SDH with ATM-like framing," <i>Internet Draft</i> , November 1998, pages 1-21.

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

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